



Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application No.	10/666,209
				Filing Date	September 17, 2003
				First Named Inventor	Peter B. Evans
				Art Unit	2123 2128
				Examiner Name	Kevin J. Teska <i>Suzanne Lo</i>
Sheet	1	of	1	Attorney Docket Number	23990-08225

U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. ¹	Document No. Number - Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published		T ⁶
SL	A	ALDERFER, R. BRENT, et al., "Making Connections, Case Studies of Interconnection Barriers and their Impact on Distributed Power Projects", NREL/SR-200-28053, May 2000,		
SL	B	SILBERMAN, STEVE, "The Energy Web", [online] Retrieved from the internet, WIRED Magazine, Vol. 9.07, Jul 2001. Available online at <u>www.wired.com/wired/archive/9.07/juice.html</u> (October 21, 2004)		

Examiner Signature		Date Considered	3/6/06
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SC	A	"The Optimal Portfolio Methodology for Assessing Distributed Energy Resource Benefits for the EnergyNet", August 12, 2003, New Power Technologies	
	B	"AEMPFAS [®] SOFTWARE" (product description) available at http://www.otii.com/aempfast.html , Optimal Technologies International Inc.	
	C	Hope, Gordon, et al., "Operations Review of June 14, 2000, PG&E Bay Area System Events Using Aempfast [®] Software", Final Report, October 3, 2001, pp.1-30, Optimal Technologies International Inc., Lawrence Berkeley National Lab (California, US).	
	D	"Applications Guide: Distribution Capacity Planning With Distributed Resources", EPRI, Palo Alto, CA: 1999. TR-114684 (Final Report, January 2000).	

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